

IOWA ETHICS AND CAMPAIGN DISCLOSURE BOARD

An Independent Agency of the Executive Branch

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To: Administration and Regulation Appropriations Subcommittee
From: Megan Tooker
Date: January 28, 2013
Re: responses to questions

1. How many employees do you have? What's the five year trend for staffing levels?

Answer: The Ethics Board currently has 5 employees. The Board's staff was reduced from 6 to 5 employees at the end of Fiscal Year 2010.

2. How are the above employees classified? How many are exempt, non-exempt and collective bargaining?

Answer:

- 1 Executive director/campaign finance disclosure commission¹ - exempt
- 1 accountant/auditor 1 – collective bargaining
- 2 field auditors – collective bargaining
- 1 administrative assistant 1 – collective bargaining

3. How many of your FTE's are federal funded?

Answer: None.

4. How many Federal grants does your agency receive and what's the matching rate? What's the future matching rate anticipated to be? How much Federal \$?

Answer: The Ethics Board does not receive any federal grant money and does not anticipate receiving any federal grant money in the future.

5. How many early retirements were taken?

Answer: 3 former employees took advantage of SERIP: 2 field auditors and the executive secretary.

6. Of those openings, how many have been filled?

Answer: 2 of those positions were filled. The Board did not fill the executive secretary position.

¹ Name of classification is based on former name of Ethics Board.

7. What are your ideas to make service delivery in your Department more efficient?

Answer: Our department would run more efficiently if all campaign disclosure reports were filed electronically. Under current law, city and county candidates and PACs are not required to file electronically if they do not have \$2,000 in financial activity in any year. Paper filings have to be scanned and the Board's staff has to maintain a separate database to track paper filers. The Board's electronic filing system for all other campaign reports requires much less manual input and therefore less staff time for maintenance.

8. Share the progress of your Department's "Lean" projects.

Answer: The Ethics Board does not have any "Lean" projects.

9. How much of your revenue comes from other state agencies?

Answer: None.

10. How much of your revenue comes from fees?

Answer: Almost none. The Ethics Board receives \$2 for processing every penalty paid to the Ethics Board and the remaining portion of the penalty is remitted to the State's general fund.

11. What has been your five year budget trend?

Answer: FY09 = \$527,122; FY10 = \$523,000; FY11 = \$537,256; FY12 = \$475,000; FY13 = \$490,000.

12. Regarding current FY13, how does your current budget situation look? Do you expect any savings?

Answer: The Ethics Board is living within its budget but does not anticipate any savings in comparison to the previous fiscal year.

13. Have there been any recent reclassifications of individuals in your department? How many and what were the changes?

Answer: Two of the Board's auditors were reclassified from Accountant/Auditor 1 to Field Auditors at the end of Fiscal Year 2012.

14. In regard to monetary bonuses, how do you measure employee outcomes?

Answer: The Board does not give monetary bonuses.